Attorney's Docket No. 005217.P029

PATENT

GAU 261

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Catherine Bahn

Application No.: 09/823,946

Filed:

March 30, 2001

For:

SYSTEM AND METHOD TO PROVIDE AUDIO ENHANCEMENTS AND PREFERENCES FOR

INTERACTIVE TELEVISION

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Not yet assigned

Art Unit: 2614

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form 1449A/PTO together with copies of the documents listed on that form. It is respectfully requested that the listed documents be considered and that the enclosed copy of Information Disclosure Citation Form 1449A/PTO be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on.

> (Date of Deposit) Paige A. Johnson

(Typed or printed name of person mailing correspondence)

-1 -

(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| | 37 C.F.R. §1.97(b). | | | | |
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| XXX | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following: | | | | |
| XX | A statement pursuant to 37 C.F.R. §§ 1.97(e) and 1.704(d) or | | | | |
| | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). | | | | |
| | 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following: | | | | |
| | (1) A statement pursuant to 37 C.F.R. §1.97(e); and | | | | |

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A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for

submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/17, 2002

Dennis M. de Guzman Reg. No. 41,702

12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1026 (206) 292-8600

| Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) | | | | | Attorney Docket No.: 005217.P029 | Application Number: 09/823,946 | |
|--|--------------------------|---|----------------------------|------------|--|--|---|
| FEB 1 3 2002 | | | | | First Named Inventor: Catherine Bahn | | |
| | | | | | Filing Date: March 30, 2001 | | |
| | | | . Dabarrage | Ú.S. PATEN | IT DOCUMENTS | | İ |
| Exam. Initial* | Cite No. ¹ | U.S. Paten Number Code ² | t Document Kind (If known) | Na | me of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | 5,451,998 | | | Hamrick | 09-19-1995 | |
| | | 5,917,810 | | | De Bot | 06-29-1999 | |
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| Exam. Initial* | Cite No. ¹ | Foreign Patent Document | | | PATENT DOCUMENTS Name of Patentee or Applicant | Date of | Pages, | T ₆ |
|-------------------|--------------------------|---|--|--------------------------------------|---|---|--|----------------|
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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STATEMENTS UNDER 37 C.F.R. §§ 1.97(e) and 1.704(d)

Sir:

I hereby certify (as indicated by an "X" to the left of the appropriate paragraphs):

XXX That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

XXX That each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/17, 2002

Dennis M. de Guzman

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